

# Letter of Explanation for U.S. Mailing Address/Phone Number for Form W-8

## W-8美国通讯地址/电话号码说明信



### Return Options 寄回方式:

Electronically via Message Center  
从讯息中心电子递送:

Log in and go to Client Services >  
Message Center to attach the file

登入并进入客户服务>讯息中心·将文件  
加为附件

### Regular Mail 常规邮寄:

PO Box 2760, Omaha, NE 68103-2760

### Overnight Mail 隔夜邮寄:

200 South 108th Avenue Omaha, NE  
68154-2631

Fax 传真: 866-468-6268

**Note:** Form must be completed in English. Forms completed in any other language will not be accepted. In case of any discrepancy between the English and Chinese version of this form, the English version shall prevail.

**请注意:** 表格必须用英文填写。将不接受用其它文字填写的表格。如果表格中的任何中文与英文不符, 则以英文版为准。

Account # 账号: \_\_\_\_\_

First Name 名: \_\_\_\_\_

Middle Name 中间名: \_\_\_\_\_

Last Name 姓: \_\_\_\_\_

**Note: The mailing address on your account may not belong to a Registered Investment or Financial Advisor.**

**请注意:** 您账户中的邮寄地址不可以属于注册投资或理财顾问。

Please complete one of the three sections below. 请完成以下三个部分之一。

If you claimed a treaty on your W-8BEN, you will also need to certify your claim in the Treaty section below.  
如果您在W-8BEN上声明了条约, 您还需要在下面条约部分证明您的声明。

I, \_\_\_\_\_, **certify that I am a non-U.S. person as defined for U.S. tax purposes.**  
我, \_\_\_\_\_, 证明我是符合美国税务目的所定义的非美国人。

**I have a U.S. address/U.S. phone number for one of the below reason(s):**  
我有美国地址/美国电话号码, 原因为以下之一:

#### Residing in the U.S. 居住在美国

I certify that I am one of the following 我证明我是(单选):

- (1) A student at a U.S. educational institution and hold the appropriate visa.  
在美国教育院校的学生, 且持有适当签证。
- (2) A teacher, trainee, or intern at a U.S. educational institution or a participant in an educational or cultural exchange visitor program and hold the appropriate visa.  
美国教育院校的教师、学员或实习生, 或参与教育或文化交流项目的访问学者且持有适当签证。
- (3) A foreign individual assigned to a diplomatic post or a position in a consulate, embassy, or international organization in the U.S.  
被派到位于美国境内的外国领事馆、大使馆或国际组织中任职的外国人。
- (4) A spouse -or- unmarried child of one of the persons described in (1), (2), or (3).  
(1), (2), 或 (3)所述人之一的配偶或未婚子女。

#### Temporarily in the U.S. 暂时在美国

I certify that I **do not** qualify as a U.S. resident under the substantial presence test. Based on the substantial presence test calculation for the  
我证明通过实质居住测试, 我不符合美国居民资格。

current year and the previous two years, I have been in the U.S. for \_\_\_\_\_ days.  
根据实质居住测试对当年和前两年的计算, 我已经在美国停留了 \_\_\_\_\_ 天。

#### Closer Connection to a Foreign Country 与他国的密切联系

I certify that I meet the closer connection exception to the substantial presence test because I meet all of the following criteria:  
我证明我符合实质居住测试的密切联系例外情况, 因为我符合以下所有条件:

- I have been present in the United States for fewer than 183 days in the current year;  
本年度我在美国停留的天数少于183天;
- I maintain a tax home in the country of \_\_\_\_\_ during the current year; and  
本年度我在 \_\_\_\_\_ (国家)有纳税住房或居住场所; 且
- I have a closer connection during the current year to the above foreign (non-U.S.) country because  
我在本年度与上述他国(非美国)有密切联系, 原因是:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**Claiming a Treaty 条约声明**

I certify that I am treated as a resident of \_\_\_\_\_ for purposes of the income tax treaty between it and the U.S.  
我证明·我被视为 \_\_\_\_\_ (国家)的居民, 这是出于该国与美国签订的所得税条约·  
and am not treated as a U.S. resident or citizen for purposes of that income tax treaty.  
并且根据该所得税条约·我不被视为美国居民或公民。

**I have provided, or will provide, TD Ameritrade, Inc. with any other documentation requested to support my claim of non-U.S. status and/or tax treaty benefits and will refresh such documentation upon request. I also acknowledge that: (1) I must advise TD Ameritrade, Inc. if I become a U.S. citizen, U.S. resident, or other U.S. person for U.S. tax law purposes; and (2) such change in my U.S. tax status will impact on the U.S. tax treatment of my income from U.S. investments, which are held by TD Ameritrade, Inc.**

我已经或将要向德美利证券公司提供任何其他所需文件·以支持我是非美国身份的声明和/或税务条约优惠·并将根据要求更新此类文件。我还承认:(1)如果我成为美国公民、美国居民或出于美国税法目的的其他美国身份·我必须通知德美利证券公司;且(2)我美国税务状况的此类改变将影响我在德美利证券公司持有、美国投资收入的美国税务待遇。

**Note: Each account owner must complete and sign a separate form.**

注意: 每个账户持有人必须单独填写并签署表格。

Printed Name 印刷体姓名:

\_\_\_\_\_

Title 职位:

\_\_\_\_\_

**Sign Here**  
请在此处签名

Signature 签名:

X  
\_\_\_\_\_

Date 日期:

- -

Investment Products:  
Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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