

# Letter of Explanation for U.S. Mailing Address/Phone Number for Form W-8

## W-8美國通訊地址/電話號碼說明信



### Return Options 寄回方式:

Electronically via Message Center  
從訊息中心電子遞送:

Log in and go to Client Services > Message Center to attach the file

登入並進入客戶服務>訊息中心·將文件加為附件

### Regular Mail 常規郵寄:

PO Box 2760, Omaha, NE 68103-2760

### Overnight Mail 隔夜郵寄:

200 South 108th Avenue Omaha, NE 68154-2631

Fax 傳真: 866-468-6268

**Note:** Form must be completed in English. Forms completed in any other language will not be accepted. In case of any discrepancy between the English and Chinese version of this form, the English version shall prevail.

**請注意:** 表格必須用英文填寫。將不接受用其它文字填寫的表格。如果表格中的任何中文與英文不符, 則以英文版為準。

Account # 賬號: \_\_\_\_\_

First Name 名: \_\_\_\_\_

Middle Name 中間名: \_\_\_\_\_

Last Name 姓: \_\_\_\_\_

**Note: The mailing address on your account may not belong to a Registered Investment or Financial Advisor.**

**請注意:** 您賬戶中的郵寄地址不可以屬 註冊投資或理財顧問。

Please complete one of the three sections below. 請完成以下三個部分之一。

If you claimed a treaty on your W-8BEN, you will also need to certify your claim in the Treaty section below.  
如果您在W-8BEN上聲明瞭條約, 您還需要在下面條約部分證明您的聲明。

I, \_\_\_\_\_, **certify that I am a non-U.S. person as defined for U.S. tax purposes.**  
我, \_\_\_\_\_, 證明我是符合美國稅務目的所定義的非美國人。

**I have a U.S. address/U.S. phone number for one of the below reason(s):**  
我有美國地址/美國電話號碼, 原因為以下之一:

#### Residing in the U.S. 居住在美國

I certify that I am one of the following 我證明我是(單選):

- (1) A student at a U.S. educational institution and hold the appropriate visa.  
在美國教育院校的學生, 且持有適當簽證。
- (2) A teacher, trainee, or intern at a U.S. educational institution or a participant in an educational or cultural exchange visitor program and hold the appropriate visa.  
美國教育院校的教師、學員或實習生, 或參與教育或文化交流項目的訪問學者且持有適當簽證。
- (3) A foreign individual assigned to a diplomatic post or a position in a consulate, embassy, or international organization in the U.S.  
被派到位於美國境內的外國領事館、大使館或國際組織中任職的外國人。
- (4) A spouse -or- unmarried child of one of the persons described in (1), (2), or (3).  
(1), (2), 或 (3)所述人之一的配偶或未婚子女。

#### Temporarily in the U.S. 暫時在美國

I certify that I **do not** qualify as a U.S. resident under the substantial presence test. Based on the substantial presence test calculation for the  
我證明通過實質居住測試, 我不符合美國居民的資格。

current year and the previous two years, I have been in the U.S for \_\_\_\_\_ days.  
根據實質居住測試對當年和前兩年的計算, 我已經在美國停留了 \_\_\_\_\_ 天。

#### Closer Connection to a Foreign Country 與他國的密切聯繫

I certify that I meet the closer connection exception to the substantial presence test because I meet all of the following criteria:  
我證明我符合實質居住測試的密切聯繫例外情況, 因為我符合以下所有條件:

- I have been present in the United States for fewer than 183 days in the current year;  
本年度我在美國停留的天數少於183天;
- I maintain a tax home in the country of \_\_\_\_\_ during the current year; and  
本年度我在 \_\_\_\_\_ (國家)有納稅住房或居住場所; 且
- I have a closer connection during the current year to the above foreign (non-U.S.) country because  
我在本年度與上述他國(非美國)有密切聯繫, 原因是:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**Claiming a Treaty 條約聲明**

I certify that I am treated as a resident of \_\_\_\_\_ for purposes of the income tax treaty between it and the U.S.  
我證明，我被視為 \_\_\_\_\_ (國家)的居民，這是出於該國與美國簽訂的所得稅條約，  
and am not treated as a U.S. resident or citizen for purposes of that income tax treaty.  
並且根據該所得稅條約，我不被視為美國居民或公民。

**I have provided, or will provide, TD Ameritrade, Inc. with any other documentation requested to support my claim of non-U.S. status and/or tax treaty benefits and will refresh such documentation upon request. I also acknowledge that: (1) I must advise TD Ameritrade, Inc. if I become a U.S. citizen, U.S. resident, or other U.S. person for U.S. tax law purposes; and (2) such change in my U.S. tax status will impact on the U.S. tax treatment of my income from U.S. investments, which are held by TD Ameritrade, Inc.**

我已經或將要向德美利證券公司提供任何其他所需文件，以支持我是非美國身份的聲明和/或稅務條約優惠，並將根據要求更新此類文件。我還承認：(1)如果我成為美國公民、美國居民或出於美國稅法目的其他美國身份，我必須通知德美利證券公司；且(2)我美國稅務狀況的此類改變將影響我在德美利證券公司持有、美國投資收入的美國稅務待遇。

**Note: Each account owner must complete and sign a separate form.**

注意：每個賬戶持有人必須單獨填寫並簽署表格。

Printed Name 印刷體姓名：

Title 職位：

Signature 簽名：

Date 日期：

X

- -

**Sign Here**  
請在此處簽名

Investment Products:  
Not FDIC Insured \* No Bank Guarantee \* May Lose Value

投資產品：  
非FDIC保險 \* 非銀行保證 \* 可能損失價值

TD Ameritrade, Inc., member FINRA/SIPC, a subsidiary of The Charles Schwab Corporation. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and The Toronto-Dominion Bank. ©2021 Charles Schwab & Co. Inc. All rights reserved.

德美利證券公司作為 FINRA/SIPC 成員，是Charles Schwab Corporation的子公司。德美利證券是由 TD Ameritrade IP Company, Inc. 和 Toronto-Dominion Bank 共同擁有的商標。©2021 Charles Schwab & Co. Inc.版權所有。