

PO Box 2789 • Omaha, NE 68103-2789

Fax: 866-468-6268

1. PERSONAL INFORMATION 个人信息

Account Number: 账户号码:	U.S. Social Security Number (SSN): 美国社会安全号码(SSN):
Account Owner's Full Legal Name: 账户持有人的法定全名:	
Primary Phone Number: 主要电话号码:	Email Address: 电子邮箱地址:

2. CONTRIBUTIONS 供款

- Please make check payable to TD Ameritrade Clearing, Inc. We accept checks payable in U.S. dollars and through a U.S. bank, as well as checks drawn off Canadian banks.
 - Acceptable Foreign Instruments (checks drawn off Canadian banks). **TD Ameritrade receives a referral fee from a third-party service provider on eligible currency exchange transactions. To facilitate the currency exchange process, banks receive revenue based on an assessed currency markup rate.**
 - Form can be mailed to PO Box 2789, Omaha, NE 68103-2789. For overnight delivery, send to 200 S 108th Ave, Omaha, NE 68154, or fax to 866-468-6268.
 - Because contributions must be made in cash, all stock deposits must be 60-day rollovers, direct rollovers, transfers, or Roth conversions.
 - **Please note: This form is only necessary if you DID NOT include your Account Number, Tax Year and the Contribution Type on the memo section of your check.** If no tax year indication is made, your contribution will be reported as a current-year contribution.
- 请将美元支票抬头写为TD Ameritrade Clearing, Inc. 我们接受以美元支付且通过美国银行签发的支票以及加拿大银行开具的支票。
 - 可接受的外国票据 (从加拿大银行提取的支票)。德美利证券就合格货币兑换交易收取第三方服务提供商的推荐费。为了促进货币兑换过程, 银行根据评估货币加价率获得收入。
 - 并将此表格邮寄至PO Box 2789, Omaha, NE 68103-2789, 隔夜快递请邮寄至: 200 S 108th Ave, Omaha, NE 68154。或传真至866-468-6268。
 - 由于必须以现金供款, 所有存入的股票必须为60天滚存、直接滚存、转账或罗斯IRA转换。
 - 请注意: 仅当您未在支票的备忘录部分中包含您的账号、纳税年度和类型时, 才需要此表格。如果未标明税务年度, 那么您的供款将按照当年供款申报。

Cash Amount: 现金金额:	Tax Year: 税务年度:	Date of Deposit: 存入日期:
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Type of Contribution 供款类型

- Traditional Contribution** 传统IRA供款
 Roth Contribution 罗斯IRA供款
 SEP Employer Contribution SEP简化雇员退休账户供款
 SIMPLE Contribution SIMPLE简单个人退休账户供款

- Please note that SEP and SIMPLE contributions are defaulted to current year contributions.
 请注意: SEP简化雇员退休账户和简单个人退休账户供款将默认按照当年供款申报。

3. ROLLOVERS/TRANSFERS 滚存/转账

Cash Amount: 现金金额:	Symbol/Asset: 代号/资产:	Number of Shares: 股数:
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- Direct Rollover*** – Irrevocable Qualifying Direct Rollover from my employer's 401(k), 403(b), profit-sharing plan, money purchase plan, etc., or Roth portion of my employer's retirement plan, received into a Traditional, Rollover, SEP, or Roth IRA.
直接滚存* - 用于我雇主401(k), 403(b), 利润分享计划和货币购买计划等或我雇主退休计划的罗斯部分的不可撤销合格直接滚存。存入传统、滚存、简化雇员退休账户或罗斯IRA中。
- 60-Day Rollover** – Irrevocable Qualifying Rollover processed less than 60 days ago. Account Owner attests to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution; that these funds are being deposited within the allowable 60-day time period; and that Account Owner is allowed only one rollover per 12-month period. Account Owner further agrees to be bound by the election of this deposit as an Irrevocable Qualifying Rollover.
60天滚存 - 于前60天之内处理的不可撤销合格滚存。账户持有人表示以下: 以不可撤销合格滚存存入的资金, 不包括最低分配要求(RMD)中的任何金额; 这些资金在允许的60天期限内存入; 且账户持有人在12个月期间仅允许滚存一次。账户持有人进一步同意受此不可撤销滚存款选择的约束。
- Direct Transfer*** – Transferred from another firm where it was held with the identical account type and/or title.
直接转账* - 从另外一家公司转账, 其账户类型和/或名称应一致。
- COVID-19 Repayment** – Clients have up to 3 years to repay a Coronavirus Related Distribution (CRD) from the day the funds were distributed, if qualified.
COVID-19还款-如适用, 从资金分配之日起, 客户最多有3年的时间可以偿还冠状病毒相关的分配 (CRD)。
- Roth Conversion** – As a result of a distribution from a Traditional IRA, Rollover IRA, SEP IRA, or SIMPLE IRA.**
罗斯IRA转换 - 由传统IRA、简化雇员退休账户或简单个人退休账户分配而产生。**



* Please be advised that a transfer and a rollover are not the same thing. A rollover is the result of a distribution from another qualified plan or IRA. A transfer is the result of a direct transfer from one institution to another. If there is a doubt, contact the sending financial institution to determine if the funds were processed as the result of a distribution or a transfer.

* 请注意，转账与滚存并不相同。滚存是从其他合格计划或IRA分配的结果。转账是从一家机构直接转账至另一家机构的结果。如果您有疑问，请联系转出金融机构以确定资金是否以分配或转账的结果被处理。

** Please note that SIMPLE IRA assets cannot be converted until two years after the initial date of funding.

** 请注意简单个人退休账户资金在初始注资的两年内不得进行转换。

4. SIGNATURE 签名

The contribution limit information is based on federal law as stated in the Internal Revenue Code, and is believed to be accurate. However, eligibility to contribute is dependent on your tax filing status and personal situation. Please consult a competent tax advisor concerning your specific contribution eligibility, and any applicable state laws which may differ from federal law.

根据联邦法律，供款限额信息如美国国内税法中所述，并被视为准确无误。但是，供款资格取决于您的税务申报情况和个人情况。关于您供款资格的具体情况，以及任何有别于联邦法律的适用州法，请向合格的税务顾问咨询。

I instruct TD Ameritrade Clearing, Inc. to deposit the funds or securities into my IRA (the "Account") according to the instructions on this IRA Deposit Slip. I understand that the deposit of funds or securities into the Account may have important and possibly irrevocable tax consequences. I acknowledge that TD Ameritrade, as a discount brokerage firm, does not provide investment or tax advice; that the Account is self-directed; and that I assume full responsibility for this transaction. I release and agree to indemnify and hold harmless TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc., their divisions, officers, employees, directors, representatives, owners, affiliates, successors, and assigns from liability for any adverse consequences that may result from this transaction.

我指示TD Ameritrade Clearing, Inc. 根据此IRA存款单的指示将资金或证券存入我的IRA(“账户”)。我了解将资金或证券存入我的账户，可能有重大和不可撤销的税务后果。我认可德美利证券作为折扣券商，不提供投资或税务建议；该账户为自我主导；且我对此交易承担全部责任。我免除、并同意赔偿及不追究德美利证券公司和TD Ameritrade Clearing, Inc.以及他们各自的部门、执行官、员工、董事、代表、持有人、附属机构、继承人就此交易造成不利后果的任何责任。

By Depositing a foreign instrument, you:
Agree to indemnify and hold TD Ameritrade and the third party service provider, harmless from any and all losses, costs, claims, or financial obligations that may arise from any act or omission with respect to your account and from any and all losses, costs, claims, or financial obligations that may arise from acting upon the instructions provided herein.

通过存入外国票据，您：
不追究并同意赔偿及保证德美利证券公司和第三方服务提供商，免遭如下情况下的索赔：由于有关您账户的任何操作或未履行的操作可能引起的任何和所有损失、成本、索偿或财务义务，以及由于按照此处提供说明行事可能导致的任何和所有损失、成本、索偿或财务义务。

Certify that (i) you have the authority to enter into the transactions set forth above; and (ii) you understand that transactions are governed by an account agreement executed by the account owner with TD Ameritrade (the "Agreement").

保证 (i) 您有权进行上述交易；且 (ii) 您了解交易受账户所有者与德美利证券之间的账户协议 (“协议”) 约束。

You understand and agree that the third party service provider, will convert the funds into U.S. Dollars using the conversion rate and transmit the proceeds to the designated recipient. You also acknowledge and agree that TD Ameritrade cannot guarantee when the service provider will post the funds for credit, and this process may take several days, during which the funds will be held by the service provider in its bank account commingled with other assets of that provider, and while there: (i) are not protected by SIPC or TD Ameritrade's excess SIPC coverage, (ii) will not bear interest and (iii) will not be eligible for other earnings credits.

您了解并同意，第三方服务提供商将使用转换汇率将资金转换为美元，并将资金转给指定的接收者。您还了解并同意，德美利证券无法保证服务提供商何时将资金记入贷方，此过程可能需要几天，在此期间，资金将由服务提供商保存在其银行帐户中，并与该提供者的其他资产混合在该帐户中，并且：(i) 没有受到SIPC或德美利证券的SIPC额外承保范围的保护，(ii) 没有任何利息，并且 (iii) 没有资格获得其他收入抵免。

By my signature below, I certify that the information and instructions provided, and the elections made by and through this IRA Deposit Slip, are true and correct. TD Ameritrade Clearing, Inc. may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this IRA Deposit Slip.

经由我在下方的签名，我保证所提供的信息和指示，以及通过此IRA存款单填写的选项，都准确无误。TD Ameritrade Clearing, Inc. 将合理地依赖此指示和填写的项目，并有权将资金或证券以此IRA存款单所提供方式存入。

 Account Owner's Signature:
账户持有人签名:

Date:
日期: _____

Original signature required; electronic signatures and/or signature fonts are not authorized.

必须为原始签名；不接受电子签名及/或字体签名。

Investment Products:
Not FDIC Insured * No Bank Guarantee * May Lose Value

投资产品：
非FDIC保险 * 非银行保证 * 可能损失价值

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