

PO Box 2789 • Omaha, NE 68103-2789

Fax: 866-468-6268

**1. PERSONAL INFORMATION 個人信息**

Account Number: 賬戶號碼:	U.S. Social Security Number (SSN): 美國社會安全號碼(SSN):
Account Owner's Full Legal Name: 賬戶持有人的法定全名:	
Primary Phone Number: 主要電話號碼:	Email Address: 電子郵箱地址:

**2. CONTRIBUTIONS 供款**

- Please make check payable to TD Ameritrade Clearing, Inc. We accept checks payable in U.S. dollars and through a U.S. bank, as well as checks drawn off Canadian banks.
    - Acceptable Foreign Instruments (checks drawn off Canadian banks). **TD Ameritrade receives a referral fee from a third-party service provider on eligible currency exchange transactions. To facilitate the currency exchange process, banks receive revenue based on an assessed currency markup rate.**
  - Form can be mailed to PO Box 2789, Omaha, NE 68103-2789. For overnight delivery, send to 200 S 108th Ave, Omaha, NE 68154, or fax to 866-468-6268.
  - Because contributions must be made in cash, all stock deposits must be 60-day rollovers, direct rollovers, transfers, or Roth conversions.
  - Please note: This form is only necessary if you DID NOT include your Account Number, Tax Year and the Contribution Type on the memo section of your check.** If no tax year indication is made, your contribution will be reported as a current-year contribution.
- 請將美元支票抬頭寫為TD Ameritrade Clearing, Inc.。我們接受以美元支付且通過美國銀行簽發的支票以及加拿大銀行開具的支票。
    - 可接受的外國票據 (從加拿大銀行提取的支票)。德美利證券就合格貨幣兌換交易收取第三方服務提供商的推薦費。為了促進貨幣兌換過程，銀行根據評估貨幣加價率獲得收入。
  - 并將此表格郵寄至PO Box 2789, Omaha, NE 68103-2789, 隔夜快遞請郵寄至: 200 S 108th Ave, Omaha, NE 68154。或傳真至866-468-6268。
  - 由于必須以現金供款，所有存入的股票必須為60天滾存、直接滾存、轉帳或羅斯IRA轉換。
  - 請注意：僅當您未在支票的備忘錄部分中包含您的賬號、納稅年度和類型時，才需要此表格。如果未標明稅務年度，那麼您的供款將按照當年供款申報。

Cash Amount: 現金金額:	Tax Year: 稅務年度:	Date of Deposit: 存入日期:
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**Type of Contribution 供款類型**

- Traditional Contribution** 傳統IRA供款     
  **Roth Contribution** 羅斯IRA供款     
  **SEP Employer Contribution** SEP簡化雇員退休賬戶供款     
  **SIMPLE Contribution** SIMPLE簡單個人退休賬戶供款

- Please note that SEP and SIMPLE contributions are defaulted to current year contributions.  
請注意：SEP簡化雇員退休賬戶和簡單個人退休賬戶供款將默認按照當年供款申報。

**3. ROLLOVERS/TRANSFERS 滾存/轉帳**

Cash Amount: 現金金額:	Symbol/Asset: 代號/資產:	Number of Shares: 股數:
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- Direct Rollover\*** – Irrevocable Qualifying Direct Rollover from my employer's 401(k), 403(b), profit-sharing plan, money purchase plan, etc., or Roth portion of my employer's retirement plan, received into a Traditional, Rollover, SEP, or Roth IRA.  
直接滾存\* - 用于我雇主401(k), 403(b), 利潤分享計劃和貨幣購買計劃等或我雇主退休計劃的羅斯部分的不可撤銷合格直接滾存。存入傳統、滾存、簡化雇員退休賬戶或羅斯IRA中。
- 60-Day Rollover** – Irrevocable Qualifying Rollover processed less than 60 days ago. Account Owner attests to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution; that these funds are being deposited within the allowable 60-day time period; and that Account Owner is allowed only one rollover per 12-month period. Account Owner further agrees to be bound by the election of this deposit as an Irrevocable Qualifying Rollover.  
60天滾存 - 于前60天之內處理的不可撤銷合格滾存。賬戶持有人表示以下：以不可撤銷合格滾存存入的資金，不包括最低分配要求(RMD)中的任何金額；這些資金在允許的60天期限內存入；且賬戶持有人在12個月期間僅允許滾存一次。賬戶持有人進一步同意受此不可撤銷滾存款選擇的約束。
- Direct Transfer\*** – Transferred from another firm where it was held with the identical account type and/or title.  
直接轉帳\* - 從另外一家公司轉帳，其賬戶類型和/或名稱應一致。
- COVID-19 Repayment** – Clients have up to 3 years to repay a Coronavirus Related Distribution (CRD) from the day the funds were distributed, if qualified.  
COVID-19還款-如適用，從資金分配之日起，客戶最多有3年的時間可以償還冠狀病毒相關的分配 (CRD)。
- Roth Conversion** – As a result of a distribution from a Traditional IRA, Rollover IRA, SEP IRA, or SIMPLE IRA.\*\*  
羅斯IRA轉換 - 由傳統IRA、簡化雇員退休賬戶或簡單個人退休賬戶分配而產生。 \*\*



\* Please be advised that a transfer and a rollover are not the same thing. A rollover is the result of a distribution from another qualified plan or IRA. A transfer is the result of a direct transfer from one institution to another. If there is a doubt, contact the sending financial institution to determine if the funds were processed as the result of a distribution or a transfer.

\* 請注意，轉帳與滾存并不相同。滾存是從其他合格計劃或IRA分配的結果。轉帳是從一家機構直接轉帳至另一家機構的結果。如果您有疑問，請聯繫轉出金融機構以確定資金是否以分配或轉帳的結果被處理。

\*\* Please note that SIMPLE IRA assets cannot be converted until two years after the initial date of funding.

\*\* 請注意簡單個人退休帳戶資金在初始注資的兩年內不得進行轉換。

#### 4. SIGNATURE 簽名

The contribution limit information is based on federal law as stated in the Internal Revenue Code, and is believed to be accurate. However, eligibility to contribute is dependent on your tax filing status and personal situation. Please consult a competent tax advisor concerning your specific contribution eligibility, and any applicable state laws which may differ from federal law.

根據聯邦法律，供款限額信息如美國國內稅收法中所述，并被視為準確無誤。但是，供款資格取決於您的稅務申報情況和個人情況。關於您供款資格的具體情況，以及任何有別於聯邦法律的適用州法，請向合格的稅務顧問諮詢。

I instruct TD Ameritrade Clearing, Inc. to deposit the funds or securities into my IRA (the "Account") according to the instructions on this IRA Deposit Slip. I understand that the deposit of funds or securities into the Account may have important and possibly irrevocable tax consequences. I acknowledge that TD Ameritrade, as a discount brokerage firm, does not provide investment or tax advice; that the Account is self-directed; and that I assume full responsibility for this transaction. I release and agree to indemnify and hold harmless TD Ameritrade, Inc. and TD Ameritrade Clearing, Inc., their divisions, officers, employees, directors, representatives, owners, affiliates, successors, and assigns from liability for any adverse consequences that may result from this transaction.

我指示TD Ameritrade Clearing, Inc. 根據此IRA存款單的指示將資金或證券存入我的IRA(“賬戶”)。我瞭解將資金或證券存入我的賬戶，可能有重大和不可撤銷的稅務後果。我認可德美利證券作為折扣券商，不提供投資或稅務建議；該賬戶為自我主導；且我對此交易承擔全部責任。我免除、并同意賠償及不追究德美利證券公司和TD Ameritrade Clearing, Inc.以及他們各自的部門、執行官、員工、董事、代表、持有人、附屬機構、繼承人就此交易造成不利後果的任何責任。

**By Depositing a foreign instrument, you:**  
**Agree to indemnify and hold TD Ameritrade and the third party service provider, harmless from any and all losses, costs, claims, or financial obligations that may arise from any act or omission with respect to your account and from any and all losses, costs, claims, or financial obligations that may arise from acting upon the instructions provided herein.**

通過存入外國票據，您：  
不追究并同意賠償及保證德美利證券公司和第三方服務提供商，免遭如下情況下的索賠：由于有關您賬戶的任何操作或未履行的操作可能引起的任何和所有損失、成本、索償或財務義務，以及由于按照此處提供說明行事可能導致的任何和所有損失、成本、索賠或財務義務。

**Certify that (i) you have the authority to enter into the transactions set forth above; and (ii) you understand that transactions are governed by an account agreement executed by the account owner with TD Ameritrade (the "Agreement").**

保證 (i) 您有權進行上述交易；且 (ii) 您瞭解交易受賬戶所有者與德美利證券之間的賬戶協議 (“協議”) 約束。

**You understand and agree that the third party service provider, will convert the funds into U.S. Dollars using the conversion rate and transmit the proceeds to the designated recipient. You also acknowledge and agree that TD Ameritrade cannot guarantee when the service provider will post the funds for credit, and this process may take several days, during which the funds will be held by the service provider in its bank account commingled with other assets of that provider, and while there: (i) are not protected by SIPC or TD Ameritrade's excess SIPC coverage, (ii) will not bear interest and (iii) will not be eligible for other earnings credits.**

您瞭解并同意，第三方服務提供商將使用轉換匯率將資金轉換為美元，并將資金轉給指定的接收者。您還瞭解并同意，德美利證券無法保證服務提供商何時將資金記入貸方，此過程可能需要幾天，在此期間，資金將由服務提供商保存在其銀行帳戶中，并與該提供者的其他資產混合在該帳戶中，并且：(i) 沒有受到SIPC或德美利證券的SIPC額外承保範圍的保護，(ii) 沒有任何利息，并且 (iii) 沒有資格獲得其他收入抵免。

By my signature below, I certify that the information and instructions provided, and the elections made by and through this IRA Deposit Slip, are true and correct. TD Ameritrade Clearing, Inc. may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this IRA Deposit Slip.

經由我在下方的簽名，我保證所提供的信息和指示，以及通過此IRA存款單填寫的選項，都準確無誤。TD Ameritrade Clearing, Inc. 將合理地依賴此指示和填寫的項目，并有權將資金或證券以此IRA存款單所提供方式存入。

 Account Owner's Signature:  
賬戶持有人簽名:

Date: \_\_\_\_\_  
日期: \_\_\_\_\_

**Original signature required; electronic signatures and/or signature fonts are not authorized.**

必須為原始簽名；不接受電子簽名及/或字體簽名。

Investment Products:  
Not FDIC Insured \* No Bank Guarantee \* May Lose Value

投資產品：  
非FDIC保險 \* 非銀行保證 \* 可能損失價值

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