

标准零售定价： 佣金与收费

联系信息:

登入并进入客户服务>信息中心，
以联系我们。

邮寄地址:

PO Box 2760, Omaha, NE 68103-2760

若本表格的英文与中文版本内容有任何差异，以英文版本为准。

我们低廉且直截了当的佣金与收费让您专注于您投资策略的执行.....而不是计算费用。

佣金

股票和ETF

交易无限制股数(市价或限价单)	价格
网上交易	\$ 0.00*
电话通下单系统(IVR)	\$ 5.00
经纪人协助下单	\$25.00

*注意:在美国交易所上市的股票和ETF网上交易的佣金为0.00美元。场外交易(OTC)股票(未在美国证券交易所上市的股票)的在线交易收取 6.95美元佣金。在同一交易日以多笔执行的订单将收取一次佣金。当订单在多个交易日内部分被执行时，订单将按每个交易日分别收取佣金。

共同基金

基金类型	价格
无交易费(NTF)基金	无交易费
无销售费(No-Load)基金	最高\$49.99。 或**\$74.95 买入，\$0 卖出
销售费(Load) 基金	无交易费*

*基金家族将按照基金公开说明书中的详述收取费用。 **对于您所购买的某些不向德美利证券支付所购股票记录保存、股东和其他管理服务的基金家族，会被收取更高的交易费。

如果持有无交易费(NTF)共同基金(除了ProFunds、Rydex和Direxion funds外)少于或等于180天，将会产生\$49.99的短期赎回费。这笔费用是在任何适用交易费用和在公开说明书中列出费用之外的额外收费。

德美利证券从包括参与其无销售费(no-load)、无交易费(NTF)计划在内的基金公司获得保存记录、股东服务以及其他行政和分配服务的酬金。德美利证券从这些服务中获取的酬金数额部分取决于德美利证券客户对这些基金的投资数量。若通过德美利证券持续投资无交易费(NTF)基金和其他基金还有其他费用和收费，这些在公开说明书中有所描述。

期权

股票或指数期权、市价和限价单	价格
网上交易	\$ 0.00+ \$0.65每一合约
电话通下单系统(IVR)	\$ 5.00+ \$0.65 每一合约
经纪人协助下单	\$25.00+ \$0.65 每一合约

请注意：无执行和指派费用。期权涉及风险，并不适合所有投资者。

指数期权费用

底层代号	描述	权利金	到期日	每张合约费用
SPX	S&P 500指数	<\$1	每月	\$0.57
SPX	S&P 500指数	>=\$1	每月	\$0.66
SPXW	S&P 500指数	<\$1	每周/每季度	\$0.49
SPXW	S&P 500指数	>=\$1	每周/每季度	\$0.58
OEX	S&P 100指数 (美式行权)	全部	每月/每季度	\$0.40
OEX	S&P 100指数 (美式行权)	全部	每周	\$0.30
XEO	S&P 100指数 (欧式行权)	全部	每月/每季度	\$0.40
XEO	S&P 100指数 (欧式行权)	全部	每周	\$0.30
VIX	Cboe市场波幅指数	<=\$0.10	全部	\$0.05
VIX	Cboe市场波幅指数	\$0.11-\$0.99	全部	\$0.17
VIX	Cboe市场波幅指数	\$1-\$1.99	全部	\$0.30
VIX	Cboe市场波幅指数	>=\$2	全部	\$0.45
RUT	Russell 2000 Index / Russell 2000 指数	全部	全部	\$0.18

请注意：指数期权费用是与专有指数期权产品（由芝加哥期权交易所CBOE提供）相关的费用，如有更改，恕不另行通知。您也可以在www.cboe.com上找到Cboe期权交易费用表的副本。

债券和定期存单(CDs)

产品类型	价格
拍卖的美国国债券	如提供则免佣金
债券和定期存单交易	以净收益率为基础

德美利证券可作为任何固定收益交易的委托人。作为委托人，我们将从任何买入中加价，并从每笔卖出中减价。此加价或减价将包含在给您的报价中。

期货与外汇

期货和期货期权	每张合约\$ 2.25 (外加交易所和监管费用)
注意：交易所费用可能会因交易所和产品而异。监管费用由全美期货协会 (NFA) 评估，目前每张合约0.02美元。所有价格均以美元显示。	
外汇	\$ 0.00 *

*没有佣金，但是交易成本反映在买卖价差中。

注意：期货、期货期权和外汇交易服务由Charles Schwab Futures & Forex LLC 提供交易特权须经审查并获批准。并非所有客户都有资格被批准。俄亥俄州或亚利桑那州的居民无法使用外汇帐户。

收费表

服务费†

提取凭证 递送††	价格 \$500
支票 重订支票簿 支票副本	免费/100 · IRA账户 - 免费/20 \$0
杂项 隔日快递 停止付款	按成本收费 \$0
重组 强制性重组 自愿性要约收购	\$38 \$38

接上页服务费

账户对账单和交易确认

电子账户对账单	免费
美国邮政邮寄书面月对账单†	免费：账户持仓价值≥10,000美元 \$2：账户持仓价值<10,000美元
美国邮政邮寄书面季度对账单	免费：账户持仓价值≥10,000美元 \$2：账户持仓价值<10,000美元
美国邮政邮寄书面交易确认	免费
美国邮政邮寄替代书面对账单	\$5
美国邮政邮寄替代交易确认	\$5

转账

整个账户转出	\$75
部分账户转出	免费
电汇(转出)国内/国际	\$25

特殊费用

强制性保证金催缴售出	\$25 + 经纪人佣金
外国证券	\$15 + 经纪人佣金及所有相关本地市场收费
移除无市场证券	免费
共同基金短期赎回	\$49.99
另类投资(AI)保管费	每个仓位\$250
另类投资(AI)交易费用	每笔交易\$100
Regulation T 延长	\$25
处理受限制证券	\$250
支票/电子注资被退费用	\$25
难借用证券费	定价各不相同
美国存托凭证(ADR)费用	定价各不相同

注意：根据所收取的费用，特殊情况可能适用于退休帐户。

监管费用††

"Section 31"费用 ¹	\$0.0000051每\$1.00交易所得
期权监管费用 ²	\$0.009406每一张期权合约
交易活动费 ³	每股股票\$0.000119 每张期权合约\$0.002 每张债券\$0.00075 \$0.00000075 x 每张资产抵押证券交易价值

所有价格均以美元显示。佣金和费用可能因计划、地点或安排而异并可能提前30天通知您其变更。

† 所有服务费用可能会有所改变。

†† 需要特殊处理的凭证，包括外国证券的凭证以及将凭证分成多个面额的请求，可能会产生额外费用，这些额外费用将转至您的账户。

‡ 某些账户，如期权账户，须以电子方式(免费)或通过美国邮政接收每月对账单。

‡‡ 德美利证券交付的监管费用将从客户账户收取，可能在无通知的情况下进行更改。

1 "Section 31 费用"向某些卖出交易征收利率，所收取的利率符合1934年证券交易法案Section 31。德美利证券转送这些收费到某些自我监管机构和美国国内证券交易所，由它们付款给美国证监会(SEC)。这些收费用以支付政府产生的成本，包括证监会监督和管理证券市场和证券专业人士。费率会每年或年中调整，德美利证券可能无法马上获悉；因此，收取的费用可能不同于或超出适用于您交易的真正金额。德美利证券可能保留任何超出的部份。费用将按美分四舍五入。

2 此费用因期权交易所、期权成交地点、以及负责交易的经纪商是否为特定交易所成员的不同而不同。因此，德美利证券计算混合利率，它将等于或稍微超出送交到期权交易所所需的数额。

3 此费用的征收利率符合FINRA交易活动规章Schedule A Section 1。目前利率为：每股受影响股票证券的每次卖出为\$0.000119，每笔交易最高收取\$5.95；每次卖出每张期权合约约为\$0.002；卖出每张受TRACE-Eligible影响的债券（有抵押证券除外）及/或市政证券为\$0.00075，每笔交易最高收取\$0.75；\$0.00000075 乘以出售有抵押证券的价值(即向TRACE报告的价值)，每笔交易最高收取\$0.75。费用按美分四舍五入。

Investment Products:
Not FDIC Insured * No Bank Guarantee * May Lose Value

投资产品：
非FDIC保险 * 非银行保证 * 可能损失价值

TD Ameritrade, Inc., member FINRA/SIPC, a subsidiary of The Charles Schwab Corporation. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and The Toronto-Dominion Bank. ©2021 Charles Schwab & Co. Inc. All rights reserved.

德美利证券公司作为 FINRA/SIPC 成员，是 Charles Schwab Corporation 的子公司。德美利证券是由 TD Ameritrade IP Company, Inc. 和 Toronto-Dominion Bank 共同拥有的商标。©2021 Charles Schwab & Co. Inc. 版权所有。

Standard Retail Pricing: Commissions and Fees



Contact Information:

Log in and go to Client Services >
Message Center to contact us

Mailing Address:

PO Box 2760, Omaha, NE 68103-2760

In case of any discrepancy between the English and Chinese version of this form, the English version shall prevail.

Our low, straightforward commissions and fees let you concentrate on executing your investment strategy...not on calculating fees.

Commissions

Stocks and Exchange Traded Funds (ETFs)

Trade Unlimited Shares (Market or Limit)	Price
Internet	\$0.00*
Interactive Voice Response (IVR) Phone System	\$5.00
Broker-Assisted	\$25.00

*\$0 commission applies to online U.S. exchange-listed stocks and ETFs. A \$6.95 commission applies to online trades of over-the-counter (OTC) stocks which includes stocks not listed on a U.S. exchange.

Orders executed in multiple lots on the same trading day will be charged a single commission. When an order is partially executed over multiple trading days, the order is subject to a separate commission charge for each trading day.

Mutual Funds

Fund Type	Price
No-Transaction-Fee (NTF) Funds	No Transaction Fee
No-Load Funds	Up to \$49.95 or **\$74.95 for buys \$0 for sells
Load Funds	No Transaction Fee*

*The Fund Family will charge fees as detailed in the fund prospectuses. **An increased transaction fee applies to your purchases of funds from certain fund families that do not pay TD Ameritrade for record keeping, shareholder, and other administrative services on the shares that you have purchased.

No-transaction-fee (NTF) funds (except ProFunds, Rydex, and Direxion funds) held 180 days or less are subject to a Short-Term Redemption fee of \$49.99. This fee is in addition to any applicable transaction fees or fees addressed in the fund's prospectus.

TD Ameritrade receives remuneration from mutual fund companies, including those participating in its no-load, NTF program for recordkeeping, shareholder services, and other administrative and distribution services. The amount of TD Ameritrade's remuneration for these services is based in part on the amount of investments in such funds by our clients. NTF mutual funds and other funds offered through TD Ameritrade have other fees and expenses that apply to a continued investment in the fund and are described in the prospectus.

Options

Equity or Index, Market, and Limit Orders	Price
Internet	\$0.00 + \$0.65 fee per contract
Interactive Voice Response (IVR) Phone System	\$5.00 + \$0.65 fee per contract
Broker-Assisted	\$25.00 + \$0.65 fee per contract

Please note: No exercise or assignment fees. Options involve risks and are not suitable for all investors.

Index Option Fee

Underlying Symbol	Description	Premium	Expiration	Fee Per Contract
SPX	S&P 500 Index	<\$1	Monthly	\$0.57
SPX	S&P 500 Index	>=\$1	Monthly	\$0.66
SPXW	S&P 500 Index	<\$1	Weekly/Quartely	\$0.49
SPXW	S&P 500 Index	>=\$1	Weekly/Quartely	\$0.58
OEX	S&P 100 Index (American-style exercise)	All	Monthly/Quartely	\$0.40
OEX	S&P 100 Index (American-style exercise)	All	Weekly	\$0.30
XEO	S&P 100 Index (European-style exercise)	All	Monthly/Quartely	\$0.40
XEO	S&P 100 Index (European-style exercise)	All	Weekly	\$0.30
VIX	Cboe Market Volatility Index	<=\$0.10	All	\$0.05
VIX	Cboe Market Volatility Index	\$0.11-\$0.99	All	\$0.17
VIX	Cboe Market Volatility Index	\$1-\$1.99	All	\$0.30
VIX	Cboe Market Volatility Index	>=\$2	All	\$0.45
RUT	Russell 2000 Index	All	All	\$0.18

Please note: The Index Option Fees are fees associated with Proprietary Index Option products provided by the Chicago Board Options Exchange (Cboe) and are subject to change without notice. A copy of the Cboe Options Exchange Fee Schedule can also be found on www.cboe.com.

Bonds and CDs

Product Type	Price
Treasuries at Auction	Available Commission Free
Bond and CD Trades	On a net yield basis

TD Ameritrade may act as principal on any fixed-income transaction. When acting as principal, we will add a markup to any purchase, and subtract a markdown from every sale. This markup or markdown will be included in the price quoted to you.

Futures & Forex

Futures & Options on Futures \$2.25 fee per contract (plus exchange & regulatory fees)

Note: Exchange fees may vary by exchange and by product. Regulatory fees are assessed by the National Futures Associations (NFA) and are currently \$0.02 per contract. All prices are shown in U.S. Dollars.

Forex \$0.00*

*No commission, however the cost of the trade is reflected in the bid/ask spread.

Note: Futures, futures options, and forex trading services provided by Charles Schwab Futures and Forex LLC. Trading privileges subject to review and approval. Not all clients will qualify. Forex accounts are not available to residents of Ohio or Arizona.

Fee Schedule

Service Fees†

Certificate Withdrawal Delivery††	\$500
Checking	
Checkbook Reorder	No charge/100, IRA No charge/20
Copy of Check	\$0
Miscellaneous	
Overnight Delivery	Billed at cost
Stop Payment	\$0
Reorganization	
Mandatory	\$38
Voluntary Tender Offer	\$38

Service Fees Continued

Statements and Trade Confirmations	
Electronic Statements	No charge
Paper Monthly Statements by U.S. Mail†	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Quarterly Statements by U.S. Mail	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Trade Confirmations by U.S. Mail	No charge
Replacement Paper Statements by U.S. Mail	\$5
Replacement Paper Trade Confirmations by U.S. Mail	\$5
<hr/>	
Transfers	
Outbound Full Account Transfer	\$75
Outbound Partial Account Transfer	No Charge
Wires (Outgoing) Domestic/International	\$25

Exception Fees

Forced Margin Sellout	\$25 + broker commission
Foreign Security	\$15 + broker commission and all applicable local market charges
Removal of Non Marketable Security	No charge
Mutual Fund Short-Term Redemption Fee	\$49.99
Alternative Investments (AI) Custody Fee	\$250 per position
Alternative Investments (AI) Transaction Fee	\$100 per transaction
Regulation T Extension	\$25
Restricted Security Processing	\$250
Returned Check/Electronic Funding	\$25
Hard to Borrow Fees	Pricing Varies
American Depository Receipt (ADR) Fees	Pricing Varies

Note: Special circumstances may apply to retirement accounts, depending on the fee being charged.

Regulatory Fees##

“Section 31” Fee ¹	\$0.0000051 per \$1.00 transaction proceeds
Options Regulatory Fee ²	\$0.009406 per options contract
Trading Activity Fee ³	\$0.000119 per equity share \$0.002 per options contract \$0.00075 per bond \$0.0000075 x value per asset-backed security trade

All prices shown in U.S. dollars. Commissions and fees may vary by program, location, or arrangements and are subject to change upon 30 days' notice to you.

† All service fees are subject to change.

†† Certificate requests requiring extraordinary processing, including certificates for foreign securities and requests to break certificates into multiple denominations, may incur additional charges, which will be passed through to your account.

‡ Some accounts, such as options-approved accounts, are required to receive monthly statements either electronically (free) or via U.S. mail.

Regulatory fees are paid by TD Ameritrade and charged to client accounts and are subject to change without notice.

1 Applies to certain sell transactions, assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934. TD Ameritrade remits these fees to certain self-regulatory organizations and national securities exchanges, which in turn make payment to the SEC. These fees are intended to cover the costs incurred by the government, including the SEC, for supervising and regulating the securities markets and securities professionals. The rate is subject to annual and mid-year adjustments which may not be immediately known to TD Ameritrade; as a result, the fee assessed may differ from or exceed the actual amount of the fee applicable to your transaction. Any excess may be retained by TD Ameritrade. Fees are rounded to the nearest penny.

2 This fee varies by options exchange, where an options trade executes, and whether the broker responsible for the trade is a member of a particular exchange. As a result, TD Ameritrade calculates a blended rate that equals or slightly exceeds the amount it is required to remit to the options exchanges.

3 This fee is assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws for trading activity. Current rates are: \$0.000119 per share for each sale of a covered equity security, with a maximum charge of \$5.95 per trade; \$0.002 per contract for each sale of an option; \$0.00075 per bond for each sale of a covered TRACE-Eligible Security (other than an Asset-Backed Security) and/or municipal security, with a maximum charge of \$0.75 per trade; \$0.0000075 times the value, as reported to TRACE, of a sale of an Asset-Backed Security, with a maximum charge of \$0.75 per trade. Fees are rounded to the nearest penny.

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

TD Ameritrade, Inc., member FINRA/SIPC, a subsidiary of The Charles Schwab Corporation. TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and The Toronto-Dominion Bank. ©2021 Charles Schwab & Co. Inc. All rights reserved.